



FINANCIAL INDUSTRIES CAPABILITY STATEMENT

CISOG Technology Consults LLC helps financial institutions and fintech organizations manage risk, strengthen resilience, achieve compliance and accelerate digital transformation.

We combine deep industry insight with cybersecurity, GRC, AI governance and technology advisory expertise to protect what matters most—your customers, your data and your reputation.



OUR CORE CAPABILITIES FOR FINANCIAL INSTITUTIONS



CYBERSECURITY

Protect systems, data and customers with risk-based cybersecurity programs, assessments, architecture, and incident readiness.



vCISO SERVICES

Experienced security leadership on a fractional basis to guide strategy, governance, risk and board reporting.



GRC & IT GOVERNANCE



AI GOVERNANCE

WHY FINANCIAL INSTITUTIONS CHOOSE CISOG

- ✓ **Industry Focused.** Deep understanding of financial services regulations, risks and operating models.
- ✓ **Security First.** Cybersecurity and resilience built into every engagement.
- ✓ **Business Aligned.** Solutions designed to support growth, innovation and customer trust.

CISOG TECHNOLOGY CONSULTS LLC

FINANCIAL INDUSTRIES CAPABILITY STATEMENT

SECURE. TRANSFORM. GOVERN. GROW.

CISOG Technology Consults helps financial institutions and fintech organizations strengthen cybersecurity, manage technology risk, improve governance and compliance, govern AI responsibly, and execute digital transformation.

CYBERSECURITY	GRC & IT GOVERNANCE	AI GOVERNANCE	TRANSFORMATION
Risk-based security, resilience and incident readiness	Governance, controls, risk and audit readiness	Responsible AI, security, privacy and accountability	Modernization, cloud, automation and digital strategy

FINANCIAL SERVICES FOCUS

- Banks & credit unions
- Fintech and digital banking
- Payment services and processors
- Insurance
- Investment and asset management
- Mortgage, lending and other regulated financial organizations

Part of the CISOG Group • U.S.-focused technology consulting and business advisory • Global perspective with capabilities spanning the United States, Nigeria and UAE.

Financial Industry Capability

Technology is now inseparable from financial performance, customer trust, regulatory resilience and enterprise value.

KEY INDUSTRY CHALLENGES

- Cyber threats targeting customer data, payment systems and critical infrastructure
- Technology, concentration and third-party risk across increasingly connected ecosystems
- Regulatory, contractual and customer expectations for demonstrable controls and evidence
- Rapid adoption of cloud, digital channels, automation and artificial intelligence
- Legacy platforms, fragmented data and transformation programs that must deliver measurable value
- Operational resilience, business continuity and incident preparedness

CISOG RESPONSE

- Assess current capabilities, risks, controls and technology dependencies
- Prioritize investments based on business impact and risk
- Design governance, security and operating models that are practical to implement
- Support transformation while embedding cybersecurity, privacy and responsible AI
- Provide executive and board-level visibility through decision-useful reporting

CORE CAPABILITIES

Cybersecurity Consulting

Cybersecurity strategy, cyber risk assessment, security governance, security architecture, vulnerability and security assessments, incident-response readiness and security awareness.

vCISO Services

Fractional cybersecurity leadership covering strategy, governance, risk management, executive reporting, security roadmaps, policy oversight and program management.

GRC & IT Governance

Governance structures, technology risk management, controls, policies and standards, compliance advisory, audit readiness and third-party risk management.

AI Governance & Responsible AI

AI inventory and use-case governance, AI risk assessment, governance frameworks, policies, security/privacy controls, third-party AI risk and executive advisory.

Digital Transformation

Digital strategy, enterprise architecture, technology modernization, cloud transformation, process redesign, automation, data and analytics, and transformation governance.

Technology Advisory

Independent technology strategy, architecture, cloud and modernization advice, technology due diligence, investment advisory and operating-model design.

Cloud & Infrastructure

Cloud strategy, readiness and migration advisory, hybrid-cloud governance, infrastructure modernization, resilience, disaster recovery and business continuity.

Software & Application Solutions

Custom applications, systems integration, APIs, workflow automation, application modernization, software architecture and data-enabled solutions.

Financial Services Use Cases

CISOG can tailor individual workstreams or combine them into integrated transformation programs.

Cyber Resilience & Security Program Assess maturity and critical controls; prioritize cyber risks; strengthen governance, architecture and incident readiness.	Third-Party & Technology Risk Assess supplier and technology dependencies; establish risk criteria, due diligence processes, registers, controls and executive reporting.
Digital Banking & Fintech Transformation Align business strategy, technology architecture, cloud, applications, data, security and governance for digital growth.	AI Adoption & AI Risk Inventory AI use cases; classify risk; establish approval, oversight, security, privacy, human-accountability and monitoring mechanisms.
Regulatory & Audit Readiness Map requirements to governance and controls; identify evidence gaps; strengthen policies, procedures, accountability and remediation tracking.	Board & Executive Technology Risk Advisory Translate cyber, AI, technology and resilience risks into concise executive decisions, priorities, metrics and board-ready reporting.

INTEGRATED ENGAGEMENT MODEL

01	02	03	04	05	06
DISCOVER Business, regulatory, technology and stakeholder context	ASSESS Maturity, risk, controls, architecture and opportunity baseline	PRIORITIZE Business-impact and risk-based priorities	DESIGN Target state, governance, controls and transformation roadmap	IMPLEMENT Implementation support, capability building and change	SUSTAIN Metrics, monitoring, executive reporting and continuous improvement

SELECTED CISOG SOLUTIONS

CyberSecure 360™ Integrated cybersecurity improvement, risk, governance and resilience.	vCISO 360™ Fractional CISO leadership, governance, reporting and program oversight.
GRC 360™ Assess → Design → Implement → Monitor → Improve.	AI Governance 360™ Govern AI use cases, risk, controls, accountability and responsible adoption.

Digital Transformation 360™

Connect strategy, architecture, technology, people, process, data and governance.

Executive Technology Advisory

Independent technology counsel for high-impact decisions.

Governance, Framework Alignment & Outcomes

CISOG uses recognized practices and standards as appropriate to the client's business, risk, regulatory and contractual environment.

FRAMEWORK-ALIGNED ADVISORY	
• NIST Cybersecurity Framework and NIST SP 800-series guidance • ISO/IEC 27001 information security management practices • ISO/IEC 27701 privacy information management practices • NIST AI Risk Management Framework (AI RMF)	• ISO/IEC 42001 AI management systems • CIS Controls and COBIT • PCI DSS and SOC 2-related control environments where applicable • Applicable financial-sector, privacy, contractual and regulatory requirements

BUSINESS OUTCOMES WE TARGET

Lower Risk Identify and prioritize material cybersecurity, technology and AI risks.	Greater Resilience Improve preparedness for cyber incidents, outages and operational disruption.
Stronger Governance Clarify accountability, decision rights, controls and executive oversight.	Compliance Confidence Improve readiness through practical policies, controls, evidence and remediation.
Responsible Innovation Enable AI, cloud and digital transformation within defined risk guardrails.	Better Investment Decisions Connect technology spending to business strategy, risk and measurable outcomes.

WHY CISOG FOR FINANCIAL SERVICES

- **Integrated capability.** Cybersecurity, GRC, IT governance, AI governance, transformation and technology advisory in one consulting proposition.
- **Business-first advisory.** Recommendations are designed around business objectives, risk appetite, operating realities and measurable outcomes.
- **Strategy to execution.** Support can span assessment and strategy through implementation, capability development and continuous improvement.
- **Executive perspective.** Board and C-suite advisory can translate technical risk into business decisions, priorities and investment choices.
- **Global perspective, U.S.-focused delivery.** CISOG Technology Consults is part of the broader CISOG Group ecosystem.

Note: Frameworks and regulatory references are applied selectively based on engagement scope and client requirements; they do not imply certification or regulatory compliance by default.

Experience & Engagement Readiness

CISOG's financial-services proposition is designed for institutions that need practical, measurable progress—not technology recommendations in isolation.

FINANCIAL-SECTOR RELEVANCE	ENGAGEMENT READINESS
CISOG's published U.S. capability model specifically identifies financial institutions and fintech organizations as priority sectors for cybersecurity, technology risk, GRC, AI governance and digital transformation.	Engagements can be structured as assessments, advisory programs, transformation projects, executive briefings, fractional leadership or ongoing managed/fractional services.
EXAMPLE EXECUTIVE QUESTIONS	WHAT CISOG CAN HELP ANSWER
Where are our most material technology and cyber risks?	- What should management measure and report to the board? - Are our controls and governance fit for purpose? - How do we improve our management and governance? - How should we manage our technology risk?

SECURE YOUR FINANCIAL TECHNOLOGY FUTURE.

Let's discuss your cybersecurity, technology risk, governance, AI or transformation priorities.

[Schedule a Financial Services Technology Consultation](#)

CISOG Technology Consults LLC

Secure. Transform. Govern. Grow.

U.S.-focused technology consulting and business advisory services specializing in cybersecurity, vCISO, GRC & IT governance, AI governance, digital transformation and technology advisory.

Website: [cisogusa.cisoggroup.com](#) | **Parent Group:** [cisoggroup.com](#)

Prepared as a business-development capability statement. Corporate, registration, certification, client and regulatory claims should be verified before formal procurement submission.